

NEW ACCOUNT CHECKLIST

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Please note that the following items will be needed to complete your application.

CASH: INDIVIDUAL CASH ACCOUNT, JOINT TENANTS CASH ACCOUNT OR TRUST ACCOUNT

- Investor Account Form
- W-9 Form
- Copy of a Valid Government Issued Photo Identification
 - Drivers License
 - State Issued Identification or
 - Passport
- Trust Agreement (if applicable) including:
 - Legal name and date of Trust
 - Name(s) of Trustee(s)
 - Name(s) of Successor Trustee(s)
 - Power or authority of the Trustee(s) to invest assets of the Trust
 - Signature page with the Notary
 - Tax Identification Number (Copy of the SS-4, if the Trust is a taxable entity)
- Cash Account Application