

NEW ACCOUNT CHECKLIST

6700 Via Austi Parkway, Suite 300
Las Vegas, NV 89119
PHONE 702.739.9053 | 877.739.9094
FAX 702.739.7735
IGNITEFUNDING.COM



Please note that the following items will be needed to complete your application.

CUSTODIAL: IRREVOCABLE LIFE INSURANCE TRUST, PENSION PLAN, PROFIT SHARING PLAN, OR 401(K) PLAN

- W-9 Form
- Form SS-4 to verify Tax Identification Number matches Plan/Trust Name
- Plan/Trust Documents and/or Adoption Agreement (if applicable) including:
 - Legal Plan/Trust Name and Effective Date
 - Name(s) of Trustee(s), Insurer(s), Administrator(s) and/or Custodian
 - Power or authority of the Trustee(s) to invest Plan/Trust Assets
 - Vesting requirements (if applicable)
 - Submitted Plan/Trust Documentation must include the signature page of the Plan/Trust
- Copy of a Valid Government Issued Photo Identification for two (2) authorized signers
 - Drivers License
 - State Issued Identification or
 - Passport
- Custodial Account Application

CUSTODIAL: UTMA/UGMA ACCOUNT

- W-9 Form for the Minor (executed by the Guardian)
- Copy of Social Security Card for Minor
- Copy of a Valid Government Issued Photo Identification for Guardian
 - Drivers License
 - State Issued Identification or
 - Passport
- Custodial Account Application



6700 Via Austi Parkway, Suite 300
 Las Vegas, NV 89119
PHONE 702.739.9053 | 877.739.9094
FAX 702.739.7735
 IGNITEFUNDING.COM

INVESTOR ACCOUNT FORM

Where did you hear about Ignite Funding?

SECTION 1 | Type of Account

Account Type

REQUIRED DOCUMENTATION FOR CUSTODIAL ACCOUNTS

Irrevocable Life insurance Trust, Pension Plan, Profit Sharing Plan and 401(k) Plan:

- Plan/Trust Documents and/or Adoption Agreement must be provided and include the following:
 - Legal Plan/Trust Name and Effective Date
 - Name(s) of the Trustee(s), Insurer(s), Administrator(s) and/or Custodian
 - Power or authority to invest Plan/Trust Assets
 - Vesting requirements (if applicable)
 - Submitted Plan/Trust Documentation must include the signature page of the Plan/Trust
- Form SS-4 to verify Tax Identification Number matches Plan Name
- W-9 Form for Taxable entity
- Identification for two (2) authorized signers of the Plan/Trust. Additional signers can be added by completing an Interested Party Designation Form.

Uniform Transfers of Minor Act (UTMA) or Uniform Gifts to Minors Act (UGMA) Account:

- UTMA/UGMA Documents must be provided and include the following:
 - Copy of Social Security Card for Minor
 - W-9 Form for the Minor (executed by the Guardian)
 - Copy of Government Issued Identification for Guardian

SECTION 2 | For Pension Plan, Profit Sharing Plan and 401(k) Plan Accounts Only

[The Custodial Plan will be the responsible entity for IRS tax reporting purposes unless otherwise defined.]

Custodial Plan Name

CUSTODIAN INFORMATION (if applicable)

Name of Plan Custodian Custodial Plan EIN **Is the Custodian the taxable entity?** Yes No

Plan Custodian Address

Plan Custodian City Plan Custodian State Plan Custodian Zip Code

Plan Custodian Phone Plan Custodian E-mail Address

ADMINISTRATOR INFORMATION (if applicable)

Name of Plan Administrator

Plan Administrator Address

Plan Administrator City Plan Administrator State Plan Administrator Zip Code

Plan Administrator Phone Plan Administrator E-mail Address

SECTION 3 | Authorized Primary Account Owner Information

[Below is/are the authorized signer(s) to make investments on behalf of the Plan.]

Marital Status: Single Married Authorized Primary Salutation Authorize Primary Plan Title (if applicable)
Example: Trustee, Manager, etc.

Authorized Primary First Name Authorized Primary Middle Name

Authorized Primary Last Name Authorized Primary Suffix Authorized Primary DOB

Authorized Primary SSN **The SSN will only be used for verification purposes, if the Plan is the taxable entity.** For plan accounts without a custodian EIN identified the Authorized Primary Account Owner will be the taxable person and must provide an electronic copy of Form W-9 (Department of the Treasury IRS) for tax purposes.

Authorized Primary Address

Authorized Primary City Authorized Primary State Authorized Primary Zip Code

Authorized Primary Phone Number The **Authorized Primary Phone Number** will be the phone number used for all telephonic correspondence related to this account.

Authorized Primary E-mail Address The **Authorized Primary E-mail Address** will be the email address used for all electronic correspondence related to this account.

Authorized Primary Type of Government Issued ID Authorized Primary ID #

Authorized Primary ID Expiration Date **An electronic copy of a Government Issued ID (Driver's License, State Issued Identification or Passport) is required to be provided for verification purposes.**

SECTION 4 | Authorized Secondary Account Owner

[Below is/are the authorized signer(s) to make investments on behalf of the Plan.]

Marital Status: Single Married Authorized Secondary Salutation Authorize Secondary Plan Title (if applicable)
Example: Trustee, Manager, etc.

Authorized Secondary First Name Authorized Secondary Middle Name

Authorized Secondary Last Name Authorized Secondary Suffix Authorized Secondary DOB

Authorized Secondary SSN **The SSN will only be used for verification purposes, if the Plan is the taxable entity.** For plan accounts without a custodian EIN identified the Authorized Primary Account Owner will be the taxable person and must provide an electronic copy of Form W-9 (Department of the Treasury IRS) for tax purposes.

Authorized Secondary Address

Authorized Secondary City Authorized Secondary State Authorized Secondary Zip Code

Authorized Secondary Phone Number The **Authorized Secondary Phone Number** will be the phone number used if the Authorized Primary Phone Number is disconnected or non-responsive.

Authorized Secondary E-mail Address The **Authorized Secondary E-mail Address** will be the email address used for all electronic correspondence related to the investments.

Authorized Secondary Type of Government Issued ID Authorized Secondary ID #

Authorized Secondary ID Expiration Date **An electronic copy of a Government Issued ID (Driver's License, State Issued Identification or Passport) is required to be provided for verification purposes.**

SECTION 5 | For UTMA/UGMA Accounts Only

[The Minor will be the responsible person for IRS tax reporting purposes.]

MINOR INFORMATION

Minor First Name Minor Middle Name Minor Last Name
Minor DOB Minor SSN **An electronic copy of the Minor's Social Security Card is required for verification purposes.**
Minor Address Minor City Minor State Minor Zip Code

GUARDIAN INFORMATION

Guardian First Name Guardian Middle Name Guardian Last Name
Guardian Address Guardian City Guardian State Guardian Zip Code
Guardian Phone The **Guardian Phone Number** will be the phone number used for all telephonic correspondence related to this account.
Guardian E-mail Address The **Guardian E-mail Address** will be the email address used for all electronic correspondence related to this account.
Guardian Type of Government Issued ID Guardian Government ID #
Guardian ID Expiration Date **An electronic copy of a Government Issued ID (Driver's License, State Issued Identification or Passport) is required to be provided for verification purposes.**

SECTION 6 | Client Relationship Questions

Is the **Authorized Primary** or **Guardian** related to an employee at Ignite Funding? No Yes If yes, Name of Employee Relationship
Is the **Authorized Secondary** related to an employee at Ignite Funding? No Yes If yes, Name of Employee Relationship

SECTION 7 | Suitability Requirements

Pursuant to NRS 645B, investors must meet minimum financial requirements in order to invest in a mortgage loan.

Before an investor may invest in any mortgage loan, the investor must verify that he/she meets one or more of the following financial requirements:

- **The household net worth is more than \$250,000.00, excluding any equity in any real property used as the primary residence at the time of the investment;** or
- **The household net income was more than \$70,000.00 for each of the previous 2 tax years and there is a reasonable expectation of attaining or exceeding the same income for the current year.**

The total investments in mortgage loans transacted by a mortgage broker or mortgage agent are not valued at more than 50% of the investor's net worth or net annual income.

Ignite Funding reserves the right to restrict from further investing or close an account upon final payoff at any time if Ignite Funding has reasonable cause to believe that a client no longer meets the financial suitability requirements set forth or capacity to make informed decisions about his/her investments.

By my/our initials below, I/we agree and acknowledge that I/we meet the minimum financial requirements in order to invest in a mortgage loan.

Authorized Primary or **Guardian** Initials

Authorized Secondary Initials

SECTION 8 | Disbursement Profile

All disbursements of monthly interest payments and/or loan pay downs/payoffs will be automatically deposited into the the bank account as defined by the instructions below. Ignite Funding highly recommends verifying that the bank account and routing number are accurate with your financial institution as Ignite Funding cannot be held responsible for inaccurate information being provided.

Select Bank Account Type	<input type="text"/>	Bank Name	<input type="text"/>
Bank Account #	<input type="text"/>		
Bank Routing #	<input type="text"/>		

SECTION 9 | Acknowledgment

Important Information About Procedures for Opening a New Account

To help the government fight the funding of terrorism and money laundering activities, Federal law (Section 326 of the USA PATRIOT Act of 2001) requires all financial institutions to obtain, verify and record information that identifies each individual or institution who opens an account with Ignite Funding. When you open an account, we are required to obtain your name, address, date of birth, social security number or tax identification number and other information that will allow us to identify you. As appropriate, we may also request a copy of a government issued identification or other identifying documents. This information may be compared to information obtained through third-party sources, as permitted by law. If we cannot verify this information, your account may not be opened, or it may be restricted and/or closed.

Certification of Accuracy

I hereby certify that the all of the information provided on this Application is true and correct and may be relied upon by Ignite Funding for any purposes related hereto. Further, I hereby indemnify Ignite Funding, their officers, directors, shareholders, agents, employees, attorneys, insurers, insured, successors, heirs, and assigns, and any parents, affiliates, and/or subsidiaries, for any loss, claims or damages, including legal fees which may be incurred as a result of any violations resulting from their reliance upon the information I have provided. I acknowledge that the information provided will be used to help the government fight the funding of terrorism and money laundering activities as federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account. When I open an account I acknowledge that my name, address, government-issued identification number, date of birth and other information will allow Ignite Funding to identify me.

Non-Binding Arbitration and Class Action

I acknowledge my responsibility to read the Investment Overview and subsequent investment documentation disclosure for all investment selections prior to making an investment. I acknowledge that I have not received any financial, tax or legal advice from Ignite Funding and will seek the advice of my own financial, tax or legal professional.

This Agreement shall be deemed to have been made in the State of Nevada and shall be construed and the rights and liabilities of the parties determined, in accordance with the laws of the State of Nevada.

I acknowledge that all controversies which may arise with Ignite Funding concerning this Agreement and/or the investments offered, whether entered into prior, on or subsequent to the date hereof, shall be determined by non-binding arbitration.. Any non-binding arbitration under this Agreement shall be conducted pursuant to the Federal Arbitration Act and the laws of the State of Nevada before the American Arbitration Association located in Clark County, Nevada.

No person shall bring a punitive or certified class action to arbitration, nor seek to enforce any pre-dispute non-binding arbitration agreement against any person who has initiated in court a punitive class action, or who is a member of a punitive class who has not opted out of the class with respect to any claims encompassed by the punitive class until: the class certification is denied; the class is decertified; or, the customer is excluded from the class by the court.

Electronic Signature

The parties agree that the electronic signature of a party to this Agreement shall have the same legal validity and enforceability as a manually executed signature or use of a paper-based record keeping system to the fullest extent permitted by applicable law, including the Federal Electronic Signatures in Global and National Commerce Act or any similar state law based on the Uniform Electronic Transaction Act, and the parties hereby waive any objection to the contrary. This electronic signature shall be effective to bind this Agreement. The parties agree that any electronically signed document (including this Agreement) shall be deemed (i) to be "written" or "in writing," (ii) to have been signed and (iii) to constitute a record established and maintained in the ordinary course of business and an original written record when printed from electronic files. Such paper copies or "printouts," if introduced in any judicial, arbitral, mediation or administrative proceeding, will be admissible as between the parties to the same extent and under the same conditions as other original business records created and maintained in documentary form. Neither party shall contest the admissibility of the true and accurate copies of electronically signed documents based on the best evidence rule or as not satisfying the business records exception to the hearsay rule. For purposes hereof, "electronic signature" means a manually signed signature that is then transmitted by electronic means; "transmitted by electronic means" means sent in the form of a facsimile or sent via the Internet as a "PDF" (portable document format) or other replicating image attached to an e-mail message; and "electronically signed document" means a document transmitted by electronic means and containing, or to which there is affixed, an electronic signature.

I acknowledge that I have read and understand the language of the Agreement above.

Primary Account Owner or Guardian Signature	<input type="text"/>	Date	<input type="text"/>
Secondary Account Owner Signature	<input type="text"/>	Date	<input type="text"/>
Ignite Funding Principal Approval Signature	<input type="text"/>	Date	<input type="text"/>